

# Global Watchlist Screening with Monitoring

## Assurance for today's complex and dynamic sanctions environment

Experience hyper-accurate watchlist screening that proves a correct match. Continuous, automated customer status monitoring ensures uninterrupted compliance with KYC/CIP regulations and world-class tools to efficiently manage your operations.



### Industry-leading accuracy

Hyper-advanced AI & ML technology delivers unsurpassed sanctions matching accuracy and scale over legacy approaches



### Intelligent risk assessment

Identify true high-risk entities with advanced entity resolution, profile matching and scoring



### Continuous compliance

Maintain trust and avoid penalties by swapping ineffective batch files for automated real-time customer status change alerts



### Optimize for business needs

Adapt to evolving compliance regulations, or tailor acceptable match parameters suited for your business risk and regulatory requirements



### Streamlined case management

Automated analyst assignment with side-by-side comparison of customer data and matches drives rapid, accurate dispositions

**Complete end-to-end watchlist solution for sanctions screening, monitoring, and risk management**

**20% more accurate**  
than competing solutions

**30% fewer**  
false positives

**75% reduction**  
in manual reviews

**Daily**  
Sanctions, PEP and adverse  
media updates

## Innovative profile matching

Industry-leading two-stage scoring system harnesses proprietary AI/ML technology to provide unparalleled certainty that the individual in question is the correct match



### Robust name matching

Customer submitted PII is matched against selected watchlists within your defined risk parameters



### Advanced entity resolution

Returned matches are intelligently grouped into comprehensive entity profiles enriched with supplementary information



### Entity match scores

Each PII element is scored to elevate the correct entity, proving a match while eliminating false negatives

## Effortless case management

Replace tedious manual processes with a single tool for rapid disposition of matches. Profile matching combines similar customer data and surfaces the most relevant profiles, ensuring accurate matches with fewer false positives.



### Automated case generation and assignment

New cases are automatically created and assigned, expediting analyst review and disposition, reducing your exposure to risk



### Prioritize critical cases

Ensure review of most critical cases first (i.e. OFAC), designate four-eye review where required



### Available one-step workflow

Enable analysts to fully disposition cases, or choose traditional two-step supervisor review for final approval



### Extensive proof for audits

Capture every action during the review process, input PII with returned profile match, and analyst/supervisor comments for reviewable context of decisions

## Protect against financial crime with three available tiers of coverage

Focus on the coverage relevant to your business, with complete control of selected lists and matching criteria

<b>Standard</b> Robust global coverage to meet your core compliance needs	<b>Plus</b> Increased diligence for sanctioned or exposed individuals	<b>Premier</b> Confidence to do business nearly anywhere
<ul style="list-style-type: none"> <li>• OFAC SDN and consolidated lists</li> <li>• Other global sanction lists from US, Canada, UK and EU such as UN consolidated, EU sanctions, UK HMT and US Department of State lists</li> <li>• Enforcement lists, including FinCEN Money Laundering Concern List</li> <li>• US OIG Exclusion List</li> <li>• HIDTA, HIFCA</li> <li>• Custom list</li> </ul>	Standard tier in addition to: <ul style="list-style-type: none"> <li>• Domestic and International Politically Exposed Persons (PEPs) tiers 1-4</li> <li>• Thousands of global sanctions and enforcement lists</li> </ul>	Standard and plus tier coverage in addition to: <ul style="list-style-type: none"> <li>• Global adverse media entities</li> <li>• State owned entities data</li> </ul>

For more information, contact us at [salesinfo@socure.com](mailto:salesinfo@socure.com)